



AEROSPACE STANDARD	AS9110™	REV. C
	Issued	2003-01
	Revised	2016-11
	Superseding AS9110B	
Technically equivalent writings published in all IAQG sectors.		
(R) Quality Management Systems – Requirements for Aviation Maintenance Organizations		

RATIONALE

This standard has been revised to incorporate the new clause structure and content of ISO 9001:2015. In addition, industry requirements, definitions, and notes have been revised in response to both ISO 9001 revisions and stakeholder needs.

FOREWORD

To assure customer satisfaction, aviation, space, and defense organizations must provide, and continually improve, safe and reliable products and services that meet or exceed customer and applicable statutory and regulatory requirements. The globalization of the industry and the resulting diversity of regional and national requirements and expectations have complicated this objective. Organizations have the challenge of purchasing products and services from external providers throughout the world and at all levels of the supply chain. External providers have the challenge of delivering products and services to multiple customers having varying quality requirements and expectations.

Industry has established the International Aerospace Quality Group (IAQG), with representatives from aviation, space, and defense companies in the Americas, Asia/Pacific, and Europe, to implement initiatives that make significant improvements in quality and reductions in cost throughout the value stream. This standard has been prepared by the IAQG.

This document standardizes quality management system requirements to the greatest extent possible and can be used at all levels of the supply chain by organizations around the world. Its use should result in improved quality, cost, and delivery performance through the reduction or elimination of organization-unique requirements, effective implementation of the quality management system, and wider application of good practice. While primarily developed for civil and military aviation industry organizations providing maintenance services, this standard can also be used in other industry sectors when a quality management system with additional requirements over an ISO 9001 system is needed. This standard includes ISO 9001:2015¹ quality management system requirements and specifies additional civil and military aviation maintenance and continuing airworthiness industry requirements, definitions, and notes as shown in bold, italic text.

¹ With the permission of the International Organization for Standardization (ISO). The complete ISO 9001 standard can be obtained from any ISO member or from the ISO Central Secretariat: 1, ch. de la Voie-Creuse, Case postale 56, CH-1211 Geneva 20, SWITZERLAND, or visit www.iso.org. Copyright remains with ISO.

SAE Technical Standards Board Rules provide that: "This report is published by SAE to advance the state of technical and engineering sciences. The use of this report is entirely voluntary, and its applicability and suitability for any particular use, including any patent infringement arising therefrom, is the sole responsibility of the user."

SAE reviews each technical report at least every five years at which time it may be revised, reaffirmed, stabilized, or cancelled. SAE invites your written comments and suggestions.

Copyright © 2016 SAE International

All rights reserved. No part of this publication may be reproduced, stored in a retrieval system or transmitted, in any form or by any means, electronic, mechanical, photocopying, recording, or otherwise, without the prior written permission of SAE.

TO PLACE A DOCUMENT ORDER: Tel: 877-606-7323 (inside USA and Canada)
Tel: +1 724 776 4870 (outside USA)

SAE values your input. To provide feedback on this Technical Report, please visit

[This is a preview. Click here to purchase the full publication.](#)

SAE WEB ADDRESS:

[10C](#)

TABLE OF CONTENTS

RATIONALE	1
FOREWORD	1
INTENDED APPLICATION	5
INTRODUCTION	5
0.1 General	5
0.2 Quality Management Principles	6
0.3 Process Approach	6
0.3.1 General	6
0.3.2 Plan-Do-Check-Act Cycle	7
0.3.3 Risk-Based Thinking	8
0.4 Relationship with Other Management System Standards	9
QUALITY MANAGEMENT SYSTEMS – REQUIREMENTS	
1. SCOPE	10
2. NORMATIVE REFERENCES	10
3. TERMS AND DEFINITIONS	10
4. CONTEXT OF THE ORGANIZATION	12
4.1 Understanding the Organization and Its Context	12
4.2 Understanding the Needs and Expectations of Interested Parties	12
4.3 Determining the Scope of the Quality Management System	13
4.4 Quality Management System and Its Processes	13
5. LEADERSHIP	14
5.1 Leadership and Commitment	14
5.1.1 General	14
5.1.2 Customer Focus	15
5.2 Policy	15
5.2.1 Establishing the Quality Policy	15
5.2.2 Communicating the Quality Policy	15
5.2.3 Establishing and Communicating the Safety Policy	15
5.3 Organizational Roles, Responsibilities, and Authorities	16
5.3.1 Accountable Manager	16
5.3.2 Quality Manager	16
5.3.3 Other Appointed Manager(s)	17
6. PLANNING	17
6.1 Actions to Address Risks and Opportunities	17
6.2 Quality Objectives and Planning to Achieve Them	17
6.3 Planning of Changes	18
7. SUPPORT	18
7.1 Resources	18
7.1.1 General	18
7.1.2 People	19
7.1.3 Infrastructure	19
7.1.4 Environment for the Operation of Processes	19
7.1.5 Monitoring and Measuring Resources	19
7.1.6 Organizational Knowledge	20
7.2 Competence	21

7.3	Awareness	21
7.4	Communication	22
7.5	Documented Information	22
7.5.1	General	22
7.5.2	Creating and Updating	22
7.5.3	Control of Documented Information	23
8.	OPERATION	23
8.1	Operational Planning and Control	23
8.1.1	Operational Risk Management	24
8.1.2	Configuration Management	25
8.1.3	Product Safety	25
8.1.4	Prevention of Counterfeit Parts	25
8.1.5	Prevention of Suspected Unapproved Parts	26
8.1.6	Installation of Approved Parts	26
8.2	Requirements for Products and Services	26
8.2.1	Customer Communication	26
8.2.2	Determining the Requirements for Products and Services	27
8.2.3	Review of the Requirements for Products and Services	27
8.2.4	Changes to Requirements for Products and Services	28
8.3	Design and Development of Products and Services	28
8.3.1	General	28
8.3.2	Design and Development Planning	28
8.3.3	Design and Development Inputs	29
8.3.4	Design and Development Controls	29
8.3.5	Design and Development Outputs	29
8.3.6	Design and Development Changes	30
8.4	Control of Externally Provided Processes, Products, and Services	30
8.4.1	General	30
8.4.2	Type and Extent of Control	31
8.4.3	Information for External Providers	32
8.5	Production and Service Provision	33
8.5.1	Control of Production and Service Provision	33
8.5.2	Identification and Traceability	35
8.5.3	Property Belonging to Customers or External Providers	36
8.5.4	Preservation	36
8.5.5	Post-Delivery Activities	36
8.5.6	Control of Changes	37
8.6	Release of Products and Services	37
8.7	Control of Nonconforming Outputs	37
9.	PERFORMANCE EVALUATION	38
9.1	Monitoring, Measurement, Analysis, and Evaluation	38
9.1.1	General	38
9.1.2	Customer Satisfaction	39
9.1.3	Analysis and Evaluation	39
9.2	Internal Audit	40
9.3	Management Review	40
9.3.1	General	40
9.3.2	Management Review Inputs	40
9.3.3	Management Review Outputs	41
10.	IMPROVEMENT	41
10.1	General	41
10.2	Nonconformity and Corrective Action	42
10.3	Continual Improvement	42
11.	NOTES	43
11.1	Revision Indicator	43

ANNEX A	CLARIFICATION OF NEW STRUCTURE, TERMINOLOGY AND CONCEPTS (INFORMATIVE)	44
ANNEX B	OTHER INTERNATIONAL STANDARDS ON QUALITY MANAGEMENT AND QUALITY MANAGEMENT SYSTEMS DEVELOPED BY ISO/TC 176 (INFORMATIVE)	48
ANNEX C	OTHER STANDARDS ON QUALITY MANAGEMENT AND QUALITY MANAGEMENT SYSTEMS DEVELOPED BY THE INTERNATIONAL AEROSPACE QUALITY GROUP (INFORMATIVE).....	51
ANNEX D	BIBLIOGRAPHY	53
ANNEX E	AVIATION, SPACE, AND DEFENSE BIBLIOGRAPHY	55
FIGURE 1	SCHEMATIC REPRESENTATION OF THE ELEMENTS OF A SINGLE PROCESS.....	7
FIGURE 2	REPRESENTATION OF THE STRUCTURE OF THIS INTERNATIONAL STANDARD IN THE PDCA CYCLE	8

INTENDED APPLICATION

This standard is intended for use by organizations whose primary business is providing maintenance or continuing airworthiness management services for civil or military aviation articles and products; and by original equipment manufacturers with maintenance, repair, and overhaul operations that are operated autonomously, or that are substantially different from their production operations.

Organizations that design, develop, or provide aviation, space, and defense products and services; and by organizations providing post-delivery activities, including the provision of maintenance, spare parts, or materials for their own products and services should use the IAQG-developed 9100 standard (see Bibliography).

Organizations that procure parts, materials, and assemblies and resells these products to a customer in the aviation, space, and defense industry should use the IAQG-developed 9120 standard (see Bibliography). This includes organizations that procure products and split them into smaller quantities, as well as those that coordinate a customer or regulatory controlled process on the product.

INTRODUCTION

0.1 General

The adoption of a quality management system is a strategic decision for an organization that can help to improve its overall performance and provide a sound basis for sustainable development initiatives.

The potential benefits to an organization of implementing a quality management system based on this International Standard are:

- a. the ability to consistently provide products and services that meet customer and applicable statutory and regulatory requirements;
- b. facilitating opportunities to enhance customer satisfaction;
- c. addressing risks and opportunities associated with its context and objectives;
- d. the ability to demonstrate conformity to specified quality management system requirements.

This International Standard can be used by internal and external parties.

It is not the intent of this International Standard to imply the need for:

- uniformity in the structure of different quality management systems;
- alignment of documentation to the clause structure of this International Standard;
- the use of the specific terminology of this International Standard within the organization.

The quality management system requirements specified in this International Standard are complementary to requirements for products and services.

This International Standard employs the process approach, which incorporates the Plan-Do-Check-Act (PDCA) cycle and risk-based thinking.

The process approach enables an organization to plan its processes and their interactions.

The PDCA cycle enables an organization to ensure that its processes are adequately resourced and managed, and that opportunities for improvement are determined and acted on.

Risk-based thinking enables an organization to determine the factors that could cause its processes and its quality management system to deviate from the planned results, to put in place preventive controls to minimize negative effects and to make maximum use of opportunities as they arise (see clause A.4).

Consistently meeting requirements and addressing future needs and expectations poses a challenge for organizations in an increasingly dynamic and complex environment. To achieve this objective, the organization might find it necessary to adopt various forms of improvement in addition to correction and continual improvement, such as breakthrough change, innovation, and re-organization.

In this International Standard, the following verbal forms are used:

- “shall” indicates a requirement;
- “should” indicates a recommendation;
- “may” indicates a permission;
- “can” indicates a possibility or a capability.

Information marked as “NOTE” is for guidance in understanding or clarifying the associated requirement.

0.2 Quality Management Principles

This International Standard is based on the quality management principles described in ISO 9000. The descriptions include a statement of each principle, a rationale of why the principle is important for the organization, some examples of benefits associated with the principle, and examples of typical actions to improve the organization’s performance when applying the principle.

The quality management principles are:

- customer focus;
- leadership;
- engagement of people;
- process approach;
- improvement;
- evidence-based decision making;
- relationship management.

0.3 Process Approach

0.3.1 General

This International Standard promotes the adoption of a process approach when developing, implementing, and improving the effectiveness of a quality management system, to enhance customer satisfaction by meeting customer requirements. Specific requirements considered essential to the adoption of a process approach are included in 4.4.

Understanding and managing interrelated processes as a system contributes to the organization’s effectiveness and efficiency in achieving its intended results. This approach enables the organization to control the interrelationships and interdependencies among the processes of the system, so that the overall performance of the organization can be enhanced.

The process approach involves the systematic definition and management of processes, and their interactions, so as to achieve the intended results in accordance with the quality policy and strategic direction of the organization. Management of the processes and the system as a whole can be achieved using the PDCA cycle (see 0.3.2) with an overall focus on risk-based thinking (see 0.3.3) aimed at taking advantage of opportunities and preventing undesirable results.

The application of the process approach in a quality management system enables:

- understanding and consistency in meeting requirements;
- the consideration of processes in terms of added value;
- the achievement of effective process performance;
- improvement of processes based on evaluation of data and information.

Figure 1 gives a schematic representation of any process and shows the interaction of its elements. The monitoring and measuring check points, which are necessary for control, are specific to each process, and will vary depending on the related risks.

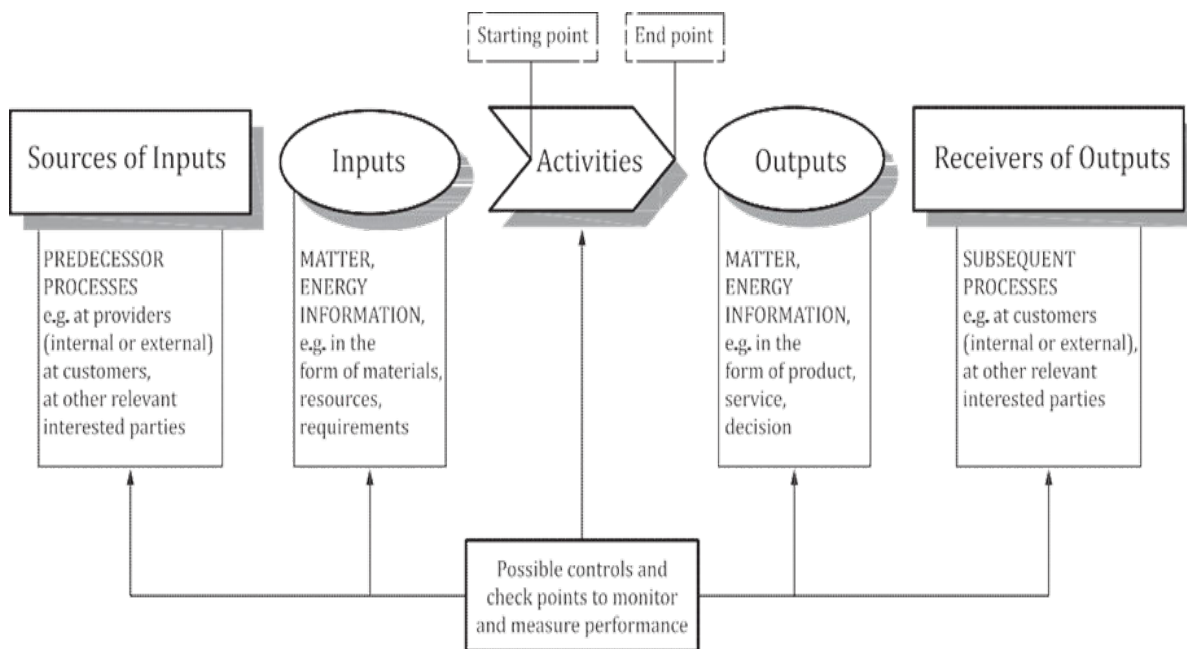


Figure 1 – Schematic representation of the elements of a single process

0.3.2 Plan-Do-Check-Act Cycle

The PDCA cycle can be applied to all processes and to the quality management system as a whole. Figure 2 illustrates how clauses 4 to 10 can be grouped in relation to the PDCA cycle.

The PDCA cycle can be briefly described as follows:

- **Plan:** establish the objectives of the system and its processes, and the resources needed to deliver results in accordance with customers' requirements and the organization's policies, and identify and address risks and opportunities;
- **Do:** implement what was planned;